THE EU RESEARCH & INNOVATION PROGRAMME 2021 - 2027





Welcome

Horizon Europe Health (2024 single stage call)
& Innovative Health Initiative (IHI) Call 5 Consortia Building Event

12 October 2023







PLEASE NOTE - THE WEBINAR IS BEING RECORDED

The slides and recording will be available afterwards on the **event website** and the link will be circulated to participants

Event Agenda

Time (CET)	Time (UK)	Session	Speakers
10.30	9.30	Welcome, Introduction and Aims of the Day	Jo Frost, Innovate UK, UKRI
10.40	9.40	UK Participation and UK Horizon Europe Guarantee	Jo Frost, Innovate UK, UKRI
10.55	9.55	Getting Started and Finding Partners	Tristan Fancourt, MRC, UKRI + Jo Frost, Innovate UK, UKRI
11.25	10.25	Case Study including tips	Ian Spero, Founder, Agile Ageing Alliance
11.35	10.35	Break	
11.45	10.45	2 min Pitches: 2024 Health Single Stage Call + IHI Call 5	Facilitated by Belen Rebello, Innovate UK KTN
12.55	11.55	Breakout rooms (no recording)	
13.25	12.25	Closing remarks	Jo Frost, Tristan Fancourt and Belen Rebello
13.30	12.30	Close	



PLEASE NOTE - THE WEBINAR IS BEING RECORDED

The slides and recording will be available afterwards on the **event website** and the link will be circulated to participants



Call Topics covered

2024 single stage calls in Horizon Europe Health Work Programme (closing on 11 April 2024):

- Pandemic preparedness and response: Host-pathogen interactions of infectious diseases with epidemic potential (HORIZON-HLTH -2024-DISEASE-08-20)
- Bio-printing of living cells for regenerative medicine (HORIZON-HLTH-2024-TOOL-11-02)
- <u>Developing EU methodological frameworks for clinical/performance evaluation and post-market</u> <u>clinical/performance follow-up of medical devices and in vitro diagnostic medical devices (IVDs)</u> (HORIZON-HLTH-2024-IND-06-08)
- <u>Gaining experience and confidence in New Approach Methodologies (NAM) for regulatory safety and efficacy testing coordinated training and experience exchange for regulators</u> (HORIZON-HLTH-2024-IND-06-09)

Innovative Health Initiative (IHI) Call 5 (closing on 16 January 2024)

- <u>Topic 1-Accelerating the implementation of New Approach Methodologies and other innovative non-animal approaches for the development, testing and production of health technologies</u>
- Topic 2-Development and proof of principle of new clinical applications of theranostics solutions
- Topic 3-Improved prediction, detection & treatment approaches for comprehensive stroke management
- Topic 4-Maximising the potential of synthetic data generation in healthcare applications



Previous infodays (recording and slides available online)

- Health single stage calls: <u>European Commission infoday</u>)
- IHI infodays





Horizon Europe & UK Participation

12 October 2023

(slides on the event webpage and circulated after the event)

UK National Contact Points (NCPs) for Horizon Europe Health:

Jo Frost (ncp-health@iuk.ukri.org) - contact for industry Linked in



Tristan Fancourt (ncp@mrc.ukri.org) - contact for academia

If you are from another sector (e.g. Charity, Government, NHS) please copy both Jo and Tristan into your email



Agenda for this section

- Horizon Europe Introduction
- Update on UK Association and key message
- 2023 Work Programme Calls (including IHI Call 4 and Call 5)
- 2024 Work Programme Calls (including Horizon Europe Health 2024 single stage call)
- Why take part in Horizon short case study videos





What is Horizon Europe?

- Horizon Europe is the EU's Research and Innovation funding programme and runs from 2021-2027
- It is the largest international R&I funding programme
- Horizon Europe has a <u>budget of €95.5 billion</u> (2021-2027)
 plus Associated Country* contributions
- Horizon Europe funds all types of organisation, including SMEs
- It funds all fields of research and innovation some calls specify the R&I to be done, and other calls leave that up to the applicants to decide

^{*}Associated Countries are countries that have signed an agreement with the EU which means that organisations from the Associated Country can receive Horizon Europe funding, and take part in and lead projects





Update on UK Association to Horizon Europe

- On 7 Sept 2023 the European Commission and UK Government reached an agreement about the UK's association to Horizon Europe
- UK organisations can already bid into Horizon Europe*, certain that all successful UK applicants will be covered either through the UK's association (for calls in the 2024 Work Programme onwards) or through the UK Horizon Guarantee (for calls in the 2021-2023 Work Programmes)
- UK organisations must request European Commission/IHI Horizon Europe funding on all Horizon Europe proposals (2021-2027 Work Programmes)
- The UK government strongly encourages researchers & innovators to apply
- Latest info on UK Association: <u>UK Horizon Europe website</u> and <u>summary document with approach for 2023 and 2024 funding calls</u>
- For any UK Horizon Europe Guarantee project already underway the UK Guarantee covers funding for the project duration





- * Only exceptions:
- European Innovation Council Fund (equity part of EIC Accelerator); and
 - very exceptional cases if the eligibility for individual funding calls is limited in the Work Programme to EU Member States or certain other countries.



Update on UK Association to Horizon Europe

The **most important** information is that

UK organisations can take part in Horizon Europe and IHI proposals* and:

On ALL Horizon Europe proposals to all 2023 Work Programme calls (e.g. IHI Call 4 and Call 5) and 2024 Work Programme calls (e.g. Health 2024 single stage call) UK organisations:

- must be added to Horizon Europe proposals as a 'Partner' (= Beneficiary) and not as an 'Associated Partner'
- must request funding from the EU on their Horizon Europe proposal

This applies to all Horizon Europe (and IHI) proposals irrespective of the Work Programme year or call opening/deadline date

The latest information on UK Association is available on the <u>UK Horizon Europe website</u> including a <u>summary document with approach for 2023 and 2024 funding calls</u>



^{*} Only exceptions: European Innovation Council Fund (equity part of EIC Accelerator) plus very exceptional cases if the eligibility for individual funding calls is limited in the Work Programme to EU Member States or certain other countries

Questions? Contact the **UK Horizon Europe National Contact Points** or check the **UK Horizon Europe Hub** website

UK Association to Horizon Europe: 2023 Work Programme

	<u> </u>
	2023 Work Programme Calls
	This is for all calls and topics with 2023 in the call/topic identifier (including IHI Call 4 and Call 5) e.g. HORIZON-JU-IHI-2023-05-01 It does not matter when the call opens and closes.
Horizon Europe proposal	 On ALL Horizon Europe proposals (to ALL 2023 and 2024 calls) UK organisations: must be added to Horizon Europe proposals as a 'Partner' (= Beneficiary) and not as an 'Associated Partner' must request funding from the EU/IHI on their Horizon Europe proposal This applies to all proposals irrespective of the Work Programme year or call opening/deadline date
Evaluation	All Horizon Europe proposal evaluation is organised by the European Commission
At grant setup stage (after funding decision)	 UK organisations involved in consortium projects*: move to 'Associated Partner' status normally do not sign the Grant Agreement cannot coordinate do sign the Consortium Agreement might not be able to access the IHI 'Financial Contribution' – see IHI Guide for Applicants for definition and contact UK NCP for advice apply to the UK Horizon Europe guarantee The EU-UK agreement states that the "European Commission will continue to administer transitional arrangements and the UK will continue to provide funding under the UK Guarantee." Please note: it is a requirement of the UK Horizon Europe Guarantee that the original Horizon Europe proposal UK organisations are included as 'Partners' (= Beneficiaries) and not as 'Associated Partners' have requested their funding from Horizon Europe

UK Association to Horizon Europe: 2024 Work Programme

	2024 Work Programme Calls onwards	
	This is for all calls and topics with 2024 in the call/topic identifier (including Health 2024 Single Stage) e.g. HORIZON-HLTH-2024-DISEASE-03-08-two-stage It does not matter when the call opens and closes.	
Horizon Europe proposal	 On ALL Horizon Europe proposals (to ALL 2023 and 2024 calls) UK organisations: must be added to Horizon Europe proposals as a 'Partner' (= Beneficiary) and not as an 'Associated Partner' must request funding from the EU on their Horizon Europe proposal This applies to all proposals irrespective of the Work Programme year or call opening/deadline date 	
Evaluation	All Horizon Europe proposal evaluation is organised by the European Commission	
At grant setup stage (after funding decision)	 UK organisations: Will be treated by the European Commission as being from an Associated Country continue as 'Partners' (=Beneficiaries) are funded by the European Commission sign the Grant Agreement sign the Consortium Agreement can coordinate the consortium UK organisations can not apply to the UK Horizon Europe guarantee	

^{*} All UK organisations on European Innovation Council (EIC), European Research Council (ERC) and Marie Skłodowska-Curie Actions (MSCA) projects please contact your <u>UK</u> National Contact Point for advice.



UK Association to Horizon Europe - Summary



- On 7 Sept 2023 the European Commission & UK Government <u>reached an agreement</u> about the UK's association to Horizon Europe
- UK organisations can bid into Horizon Europe*, certain that all successful UK applicants will be covered through the UK's association (for calls in the 2024 Work Programme onwards) or through the **UK Guarantee** (for calls in the 2021-2023 Work Programmes).
- UK Horizon Europe Guarantee projects already underway will continue for the whole project duration.
- For the latest information on UK Association see the <u>UK Horizon Europe website</u> including a <u>summary document with approach for 2023 and 2024 funding calls</u>

On ALL Horizon Europe proposals (to ALL 2023 and 2024 calls), UK organisations:

- must be added to Horizon Europe proposals as a 'Partner' (= Beneficiary) and not as an 'Associated Partner'
- must request funding from the EU on their Horizon Europe proposal

This applies to all Horizon Europe proposals irrespective of the Work Programme year or call opening/deadline date

	2023 Work Programme Calls	2024 Work Programme Calls onwards	
	This is for all calls and topics with 2023 in the call/topic identifier e.g. HORIZON-JU-IHI-2023-05-01 It does not matter when the call opens and closes.	This is for all calls and topics with 2024 in the call/topic identifier e.g. HORIZON-HLTH-2024-DISEASE-03-08-two-stage It does not matter when the call opens and closes.	
Evaluation	All Horizon Europe proposal evaluation is organised by the European Commission		
At grant setup stage (after funding decision)	 UK organisations involved in consortium projects**: move to 'Associated Partner' status do not sign the Grant Agreement, cannot coordinate do sign the Consortium Agreement might not be able to access the IHI 'Financial Contribution' apply to the UK Horizon Europe guarantee The EU-UK agreement states that the "European Commission will continue to administer transitional arrangements and the UK will continue to provide funding under the UK Guarantee." Please note: it is a requirement of the UK Horizon Europe Guarantee that the original Horizon Europe proposal UK organisations are included as 'Partners' (= Beneficiaries) and not 'Associated Partners' have requested their funding from Horizon Europe 	 UK organisations: Will be treated by the European Commission as being from an Associated Country continue as 'Partners' (=Beneficiaries) are funded by the European Commission sign the Grant Agreement sign the Consortium Agreement can coordinate the consortium UK organisations cannot apply to the UK Horizon Europe guarantee	

^{*} Only exceptions: EIC Fund (equity part of EIC Accelerator) plus very exceptional cases if the eligibility for individual funding calls is limited in the Work Programme to EU Member States or certain other countries.

** All UK organisations on European Innovation Council (EIC), European Research Council (ERC) and Marie Skłodowska-Curie Actions (MSCA) projects please contact your UK National Contact Point for advice.

Questions? Please contact the **UK Horizon Europe National Contact Points** or check the **UK Horizon Europe Hub** website.

Why take part in Horizon Europe?

Very short UKRI video playlist (about 3 mins each) with snippets of lots of UK participants briefly explaining the benefits of taking part in Horizon projects:

- Video 1 (2m44) overview
- Video (1m33) by a SME from Wales who led a Horizon Health project





THE EU RESEARCH & INNOVATION PROGRAMME 2021 - 2027





Thank you

If you are part of an IHI proposal involving the UK, please **contact the UK NCPs** so that we can keep you up to date about how UK participation in IHI works.

It would also be great to <u>hear from</u> UK organisations applying to other Horizon Europe Health calls.



Sign up to our UK NCP newsletter:





THE EU RESEARCH & INNOVATION PROGRAMME 2021 - 2027







Getting Started and Finding Partners

12 October 2023

(slides on the event webpage and circulated after the event)

UK National Contact Points (NCPs) for Horizon Europe Health:

Jo Frost (ncp-health@iuk.ukri.org) - contact for industry Linked in

Tristan Fancourt (ncp@mrc.ukri.org) - contact for academia

Sign up to our UK NCP newsletter:



If you are from another sector (e.g. Charity, Government, NHS) please copy both Jo and Tristan into your email

Agenda

- National Contact Points
- Project types
- Which countries and types of organisation
 - are eligible to participate
 - are eligible for funding
- Building a consortium
- Finding partners
- Tips





What is a National Contact Point (NCP)?

The network of **National Contact Points in the UK** and **other countries** provide free guidance, practical information and assistance on all aspects of participation in Horizon Europe.

NCP services vary in each country/sector, but typically include:



Informing and awareness raising

on Horizon Europe and other EU programmes



Assisting, advising and training

to improve the quality of Horizon Europe proposals



Signposting and cooperation

highlighting support avail. & supporting consortium development

UK Health NCPs are part of a Horizon Europe project with other health NCPs which has developed <u>useful tools</u> including <u>tips to support consortium development</u>





Tristan Fancourt UK Health NCP for academia





Jo Frost UK Health NCP for industry









Main Project Types

Research and innovation actions (RIA)

- Activities aiming primarily to **establish new knowledge or to explore the feasibility** of a new or improved technology, product, process, service or solution.
- May include basic and applied research, technology development and integration, testing, demonstration and validation on a small-scale prototype in a laboratory or simulated environment.

Innovation actions (IA)

- Activities directly aimed at producing plans and arrangements or designs for new, altered or improved products, processes or services
- Possibly including prototyping, testing, demonstrating, piloting, large-scale product validation and market replication.

Coordination and support actions (CSA)

Activities contributing to the objectives of the Horizon Europe Programme, excluding R&I activities (with some exceptions – see the General Annexes to the Work Programme)

Pre-commercial procurement actions (PCP)

Public procurement of innovative solutions actions (PPI)

Definitions taken from the **Work Programme 2023-24 General Annexes** (page 12)





Types of Countries in Horizon Europe

Automatically eligible to receive EU funding



EU Member States and their overseas territories



Associated Countries

(The UK is treated as Associated Country at Horizon Europe and IHI application stage. Latest on UK Association)



Some 'Third Countries':116 <u>Low and Middle Income Countries</u> (LMICs)

(most LMICs except BRIC, Mexico, Chile...)

Can participate with own funding (only funded by Horizon Europe in exceptional cases)



Third countries:

Developing economies (Brazil, India, China**, Mexico, Chile,...) **and industrialised countries** (Canada, Japan, US*,...)

Switzerland: The Swiss government <u>will fund</u> Swiss organisations to take part Horizon Europe consortia:

*US organisations

- are eligible for automatic Horizon Europe funding in the main Health Work Programme calls only
- are not eligible for automatic Horizon Europe funding from IHI, the Cancer Mission and other parts of Horizon Europe

**See Work Programme 2023-24 General Annexes for rules about China, Russia, Belarus and parts of Ukraine (p7-8)

List of Participating Countries in Horizon Europe contains information about countries eligible for funding

Types of Organisation

Horizon Europe (including the Health Work Programme)

Unless states otherwise in the call text:

- All types of organisation can participate in Horizon Europe proposals and projects including academia, industry of any size, Research and Technology Organisations, governments, charities, regulators, patient groups, hospitals and more
- All types of organisation are eligible for funding if they come from a country which is eligible for Horizon Europe funding (see previous slide). If they do not come from a country eligible for funding, then they can participate but normally must bring their own funding.

Innovative Health Initiative (IHI) website states

"Any organisation established in the EU or a country associated to Horizon Europe is eligible to receive IHI funding.

In practice, IHI funding primarily supports the participation in its projects of organisations like universities, research organisations, patient organisations, small and medium-sized enterprises (SMEs), and mid-sized companies.

Depending on the type of call for proposals, larger companies may also be eligible to receive IHI funding.

Details of who can receive funding is spelt out in the call texts."

Read the **IHI call documentation** for further information. Questions? **Email the IHI**





Consortia Size - Minimum

Minimum consortium size unless otherwise stated in the Work Programme or Call Topic

For IHI calls please also read the call guidance for additional requirements on consortia structure

- Research and innovation actions (RIA) and Innovation actions (IA):
 Usually, a consortium must have a minimum of 3 independent legal entities, each established in different EU Member States (MS) or Associated Countries (AC), with at least 1 of them established in a MS
- Coordination & Support Actions: One or more legal entities, which may be established in a MS, AC, or in exceptional cases and if provided for in the specific call conditions, in another third country.

Does the UK count as an Associated Country?

- For 2024 Health calls the European Commission treats the UK as an Associated Country at the application and award stage.
- For **2023 calls including IHI Call 4 and 5** the European Commission treats the UK as an Associated Country at the application stage, but not at the award stage. Therefore, IHI RIAs and IAs should have 1 MS + 2MS/AC *in addition to the UK*.





Consortia Size - Actual

Statistics on actual consortia size (excluding IHI):

Societal Challenge 1 on "Health; Demographic Change and Well-being" of the previous EU R&I programme, Horizon 2020 (2014-2020):

> 80% of RIAs had between 7 to 18 participants (13 in average)

> 613 projects (RIAs) involving 8143 participants:
from EU Member States (87%), H2020 Associated Countries other Third Countries (6%)

> Type of organisations: Higher or Secondary Education Establishments (42%), Private for-profit entities (23%), Research Organisations (23%), Public bodies (6%), Other (6%).





What do ideal partners look like?

- Expert in their area
- Enthusiastic and committed
- Responsive to coordinator's requests, able to communicate well (think about languages too?)
- Trustable





How to start building a consortium?

- What expertise is needed for the project? (Read the call topic very carefully)
- Which sectors and disciplines are needed? (Academia, Industry, stakeholders, patient groups...?)
- Are there people you have worked with before or know with suitable expertise? Friends of friends?
- What expertise is still needed? Where might you find good partners with this expertise?
- How will people/organisations be involved? Partners? Advisory groups? To feed in/disseminate to?
- Think about 'European Added value'
- Good to have some partners/orgs. with EU/collaborative experience (Especially as coordinator?)





Formal consortium building mechanisms

- European Commission/IHI/NCP/EEN brokerage events/websites on b2match + infodays and pitches:
 - B2match: online platform to see, message & meet those interested in each call topic + Register your profile
 - Horizon Europe 2024 Calls brokerage / pitch recordings & slides (and European Commission infoday)
 - IHI matchmaking platform, call 5 pitching at the end of each info session (and IHI infodays)
- Other networking and brokerage events like the <u>event today</u>
- EU Funding and Tenders Portal:
 - Every call topic page has a 'Partner Search announcements' section you can upload your profile and review others
 - Also, on the 'how to participate tab' menu you can select 'partner search' and can search by call topic and other criteria like country and organisation type.
 - For each organisation you can then see keywords, partner search, info on previous/current project: number of projects and role (coordinator/beneficiary), main collaboration partners, project title, contact, link to project info on CORDIS.
- CORDIS: European Commission website where you can search for past Horizon projects and participants and contact them
- NCP guidance: 'How to search for partners and get noticed' guidance document prepared by the Health NCP network
- NCP tools: Cluster 1 (Health) NCP partnering form
- Other partner search databases: e.g. the Enterprise Europe Network (EEN) (which includes Innovate UK EDGE in the UK) has info on SMEs looking for partners and SMEs can contact them for help and to register a profile.

Formal consortium building mechanisms



Searching the formal consortium building mechanisms is a bit like looking for a needle in a haystack – they all look like needles so finding the ones for you can be difficult





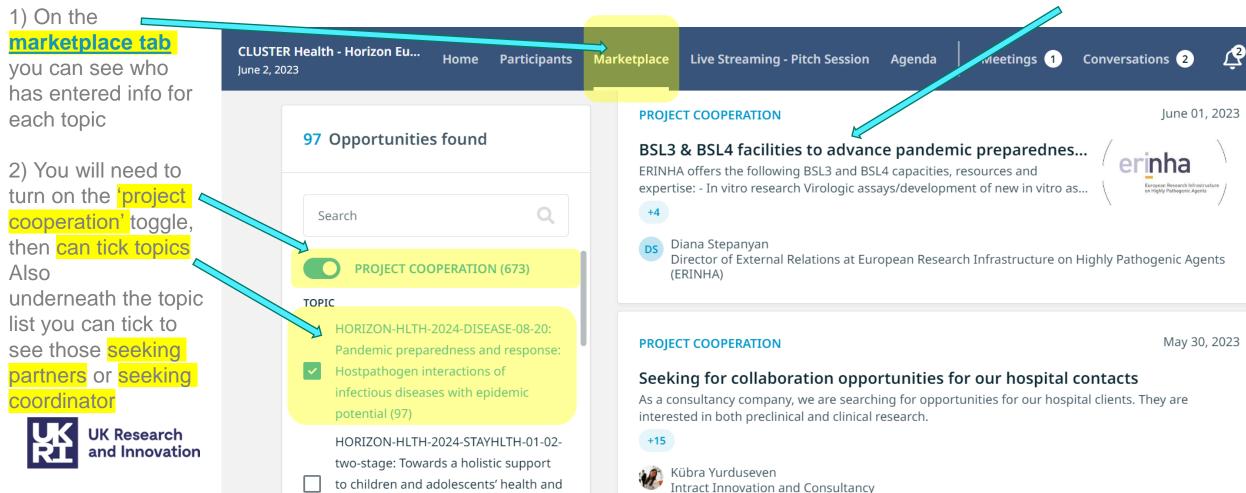
Infoday and Partnering (b2match)

care provisions in an increasingly

European Commission/IHI/NCP/EEN brokerage events/websites on b2match:

- Horizon Europe 2024 Calls brokerage / pitch recordings & slides (and Commission infoday)
- IHI matchmaking platform, call 5 pitching at the end of each info session (and IHI infodays

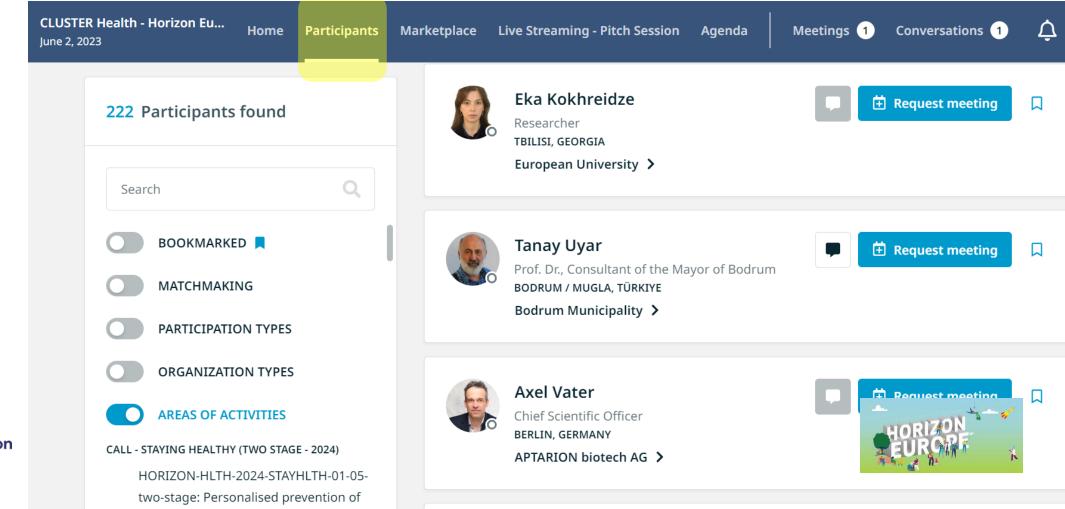
3) You can see which organisations are interested in this topic. If you log in, you can click on organisation then send message or request meeting



Istanbul, Türkiye

Infoday and Partnering (b2match) - cont'd

On the participants tab you can also see which organisations are interested in each call topic ('areas of activities') and can also search by participation types and organisation type (may include some organisations extra not on the 'marketplace' tab)





EU Funding and Tenders Portal – Call Topic page

EU Funding and Tenders Portal:

- Every call topic page has a 'Partner Search announcements' section you can upload your profile and review others
- Also, on the 'how to participate tab' menu you can select 'partner search' and can search by call topic and other criteria like country and organisation type.

For each organisation you can then see keywords, partner search, info on previous/current project: number of projects and role (coordinator/beneficiary), main collaboration partners, project title, contact, link to project info on CORDIS.

Demo

Partner search announcements

58

Searches of partners to collaborate on this topic

View / Edit

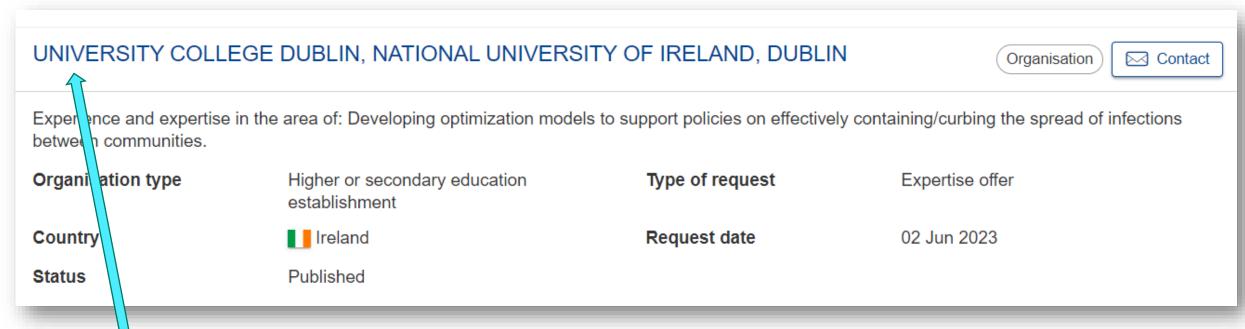
LEARs, Account Administrators or self-registrants can publish partner requests for open and forthcoming topics after logging into this Portal, as well as any user having an active public Person profile.





EU Funding and Tenders Portal – Call Topic page

Demo example...



If partners search is from an **organisation** you can click on the name (<u>demo</u>) to see further info including: keywords, participation in programmes, roles, main collaboration partners, projects list (click on project name to see CORDIS info), partner searches

If partner search is from a **person** you can click to the name to see: personal details (including country and email), weblinks, ORCID-ID, education info, employment history and partner searches



UKRI webpage with guidance on how to use the partner search tool

CORDIS https://cordis.europa.eu/search/en

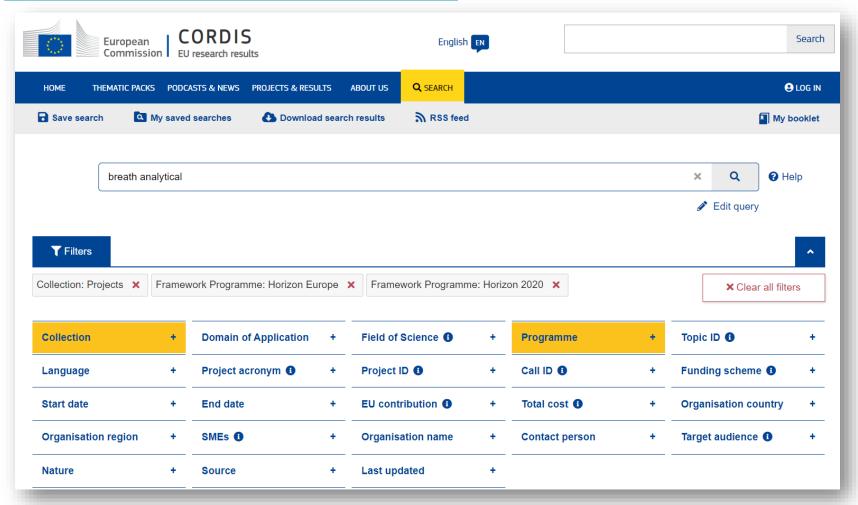
Potential filters:

Collection:

Projects

Programme:

Horizon Europe + Horizon 2020







CORDIS https://cordis.europa.eu/search/en

Demo search



BreathSpec A rapid, non-invasive, cost-effective, analytical device for bacterial or viral infection diagnosis through ultra-high sensitivity breath analysis.

ID: 755667

From: 1 May 2017 to: 31 July 2019

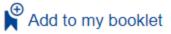
The world faces a growing epidemic of antimicrobial resistance (AMR); however, only two new classes of antibiotics have been brought to the market in the last 30 years. The discovery and development of new antibiotics is essential to maintain medical advances but poses...

Coordinated in: United Kingdom

Programme: PRIORITY 'Societal challenges, Horizon 2020 Framework Programme, PRIORITY 'Industrial

leadership'

Last update: 17 August 2022







CORDIS https://cordis.europa.eu/search/en

Demo project info: https://cordis.europa.eu/project/id/755667

as critical to the battle against antibiotic resistance.



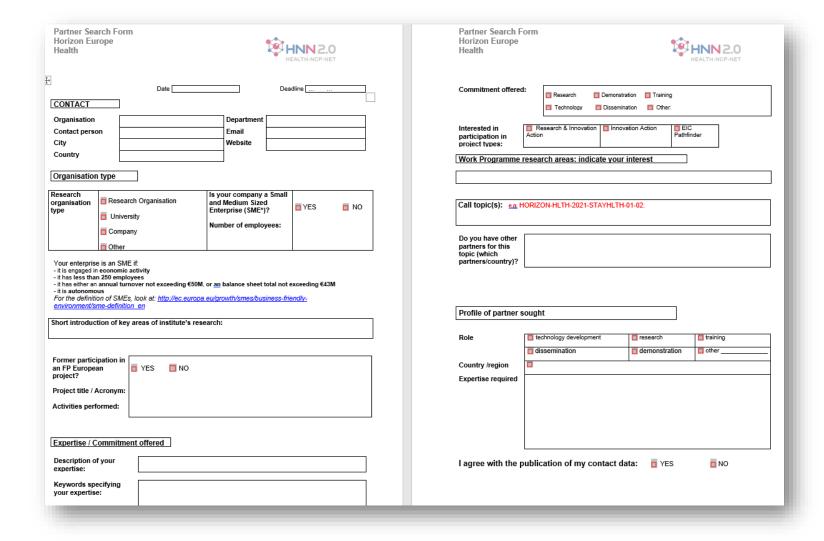
Funded under

Cluster 1 (Health) NCP Partnering Form

Partnering form

- Can be circulated at any time
- Some but not all countries/NCPs use this.
- Contact your NCP for a copy (<u>UK</u> / <u>other countries</u>)

Sign up to our UK Health NCP newsletter





The best ways to form winning teams

Being an effective networker is much more useful than submitting a profile on a partnering system and awaiting contacts. Don't wait to be invited to the party, push yourself forward

- Use your existing professional networks if you don't know who the sector leaders are in Europe then you should find out
 - Use Linked In to connect to people from possible consortium partners
- Search <u>CORDIS</u> for previous, related projects and contact the participants. All projects must disseminate their results and often hold workshops, etc.
- Join the relevant networks, associations and partnerships
 - Be an active member say 'hi' when you join the virtual room. Ask questions, support others' opinions, act as if you are already well known to all in the virtual room
 - Volunteer to draft working papers, take notes, send in useful information be helpful
 - Speak up at workshops demonstrate Thought Leadership
 - Show that you would be a valuable partner for collaborative projects and that without you they won't win you have the secret recipe that is necessary for their success





What constitutes a winning consortium?

One that can deliver the expected outcomes within the stated scope and budget (and give the evaluators confidence that they can)

There is no 'typical' or 'model' consortium structure/membership, it depends what is needed for the call topic.

• Any type of organisation can be funded by Horizon Europe. It is common to see universities, big business, small business, research and technologies organisations, local/national authorities, patient groups, charities, policy makers all within the one consortium.

Useful to include exploitation partners – someone who is going to take the outputs of the project and actually implement them (e.g. in policy makers/governments/industry/hospitals) to show immediate impact. Many Health cluster call topics mention involving patient groups too.

Useful to include the end user community, for example as an advisory board - and demonstrate their involvement in the design of your project so it suits their needs, and also to show a route to your project having impact.





Hints and Tips – Building a consortium

Make sure you read the impact section of the call topic

You will be evaluated on how well you will achieve this

Questions to think about:

- Who has the best expertise/reputation?
- Who should you approach to be part of a consortium?

Not everyone has to have the same size role

Don't include partners because you think it will look good or to pad the proposal out

each partner should have a clear and defined purpose.

Have a good balance of countries and types of organisation

more than ~30% if the budget going to one country might be of concern to the evaluators





Evaluation Criteria in Horizon Europe*

Work Programme 2023-2024 General Annexes (p21-22 and 24)

- The three criteria are weighted equally for:
 - Research and Innovation Actions (RIAs)
 - Coordination and Support Actions (CSAs)
- Impact weighted at 1.5 for Innovation Actions (IAs)
- Each criteria is scored 1-5
- Thresholds:
 - 3/5 for each criteria
 - 10/15 overall
- First stage of two-stage calls:
 - Threshold of 4/5 for Excellence
 - Threshold of 4/5 for Impact
 - (Implementation is not evaluated at stage 1)





Implementation





Priority Order

For each group of proposals with the same score:

- 1. Address aspects of the call not covered by more highly-ranked proposals
- 2. RIAs and CSAs: Ranked by scores for Excellence, then Impact. Innovation Actions: Ranked by Impact then Excellence.
- 3. Gender balance of researchers
- 4. Geographical diversity, defined as the number of Member States or Associated Countries represented in the proposal, not otherwise receiving funds from projects higher up the ranking list (and if equal in number, then by budget).
- 5. Other factors relating to objectives of the call









THE EU RESEARCH & INNOVATION PROGRAMME 2021 - 2027





Thank you

Case studies:

- Hints and Tips for success (Anita Hogg, MOIC) (15min duration, from 1hr22min into this recording).
- Benefits of participating in Horizon (Santi Dominguez, Imspex Diagnostics Ltd) <u>Video (1m33) by an SME</u>
 from the UK who led a Horizon Health project

If you are part of an IHI proposal involving the UK, please **contact the UK NCPs** so that we can keep you up to date about how UK participation in IHI works.

It would also be great to <u>hear from</u> UK organisations applying to other Horizon Europe Health calls.



Sign up to our UK NCP newsletter:







Smart and Healthy Ageing through People Engaging in supportive Systems

SHAPES

A H2020 Case study
Horizon Europe Health (Cluster 1) and Innovative
Health Initiative (IHI) - Consortia Building Event

Date: 12 October 2023

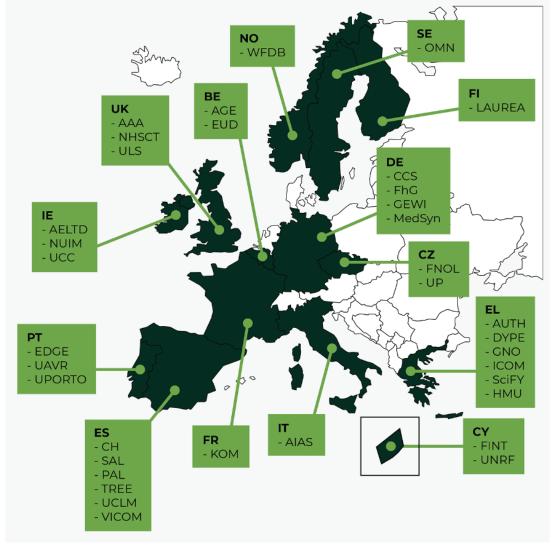
Presenter: Ian Spero, Founder Agile Ageing Alliance (AAA), Honoree Professor UCL Bartlett School of Sustainable Construction, Lead ISO 25553 Smart Multigenerational Neighbourhoods Standard Framework



SHAPES CONSORTIUM



- Led by the Assisting Living and Learning (ALL) Institute at Maynooth University, Ireland
- Consortium of circa 210 people, 36 partners, 14 European Countries, 21m Euro
- Engages with more than 2000 older people

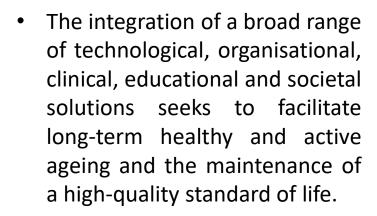




ABOUT SHAPES









SHAPES Digital Solutions: Include assistive robots, eHealth sensors and wearables, Internet of Things (IoT)-enabled devices and mobile applications.



SHAPES Ecosystem: A network of relevant users and key stakeholders working together to scale-up Platform and digital solutions.



SHAPES Marketplace: Seeks to connect demand and supply across H&C delivery, and to facilitate the co-creation of affordable, effective and trustworthy solutions.

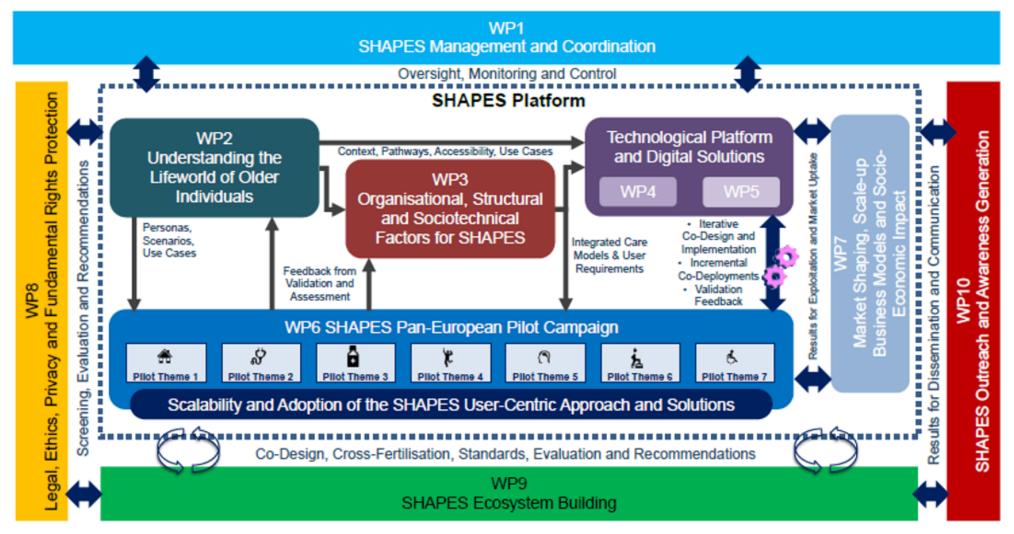


SHAPES Recommendations: Provide guidelines, a roadmap and an action plan, including a set of priorities dedicated to standardisation, to support key EU stakeholders to foster the large-scale deployment and adoption of digital solutions and new integrated car services in Europe.



SHAPES Work Packages









Smart and Health Ageing through People Engaging in supportive Systems



What are the needs of the SHAPES users?

Use Case Development

How can SHAPES

help?

Phase 1: Plan, Design & KPIs

Does SHAPES

work here?

Phase 2: Mockup or prototype validation

What are your first

impressions?

Phase 3: Hands on Experiments

What do you

think?

Phase 4: Deplyment in small enviornemnt

Is everything

working as it

should?

Will SHAPES help improve the daily health and wellbeing of older people

Phase 5: Deployment in real life

The SHAPES team will interview older

Persona Developmet

will interview older people across Europe along with experts and focus groups. From these results they will design 'personas'

Personas are fictious characters (based off research) that will help the designers understand the needs of SHAPES users

On the basis of the personas the SHAPES team will examine how the technology in SHAPES can address the needs of the users.

This is often referred to as Use Case Development

We will then move on to begin piloting SHAPES.

In this phase the SHAPES experts will examine how the SHAPES solutions fit into each Eurpoean country SHAPES experts will ask for your intial opinion of the digital solutions. You will be shown how to use the SHAPES digital solutions and to try them out The technology will be tested with a small group of people to see how it works In the final stage the SHAPES solutions will be tested on a large group of people across all the 15 European sites



- Identify prospective partners as soon as possible, ideally before the call
- Your consortium should be composed of multidisciplinary partners from different countries bringing together complementary expertise in R <u>and</u> D. It should also bring innovative solutions to tackle **global challenges**. The involvement of research organisations, public authorities and civil society organisations is encouraged
- Structure your proposal in a logical and cohesive manner, test it out on friends
- Ensure the project lead allocates adequate resource for core project management
- Don't forget to factor in ethics and security issues
- *Think about IP and innovation management measures, which should ensure that
 exploitable results will be captured, assessed and appropriately protected, in order
 to support their commercial exploitation, both at the individual partner level, as a
 group of partners, or collectively for the consortium as a whole: *The European IP
 Helpdesk



- Comms and marketing expertise is invaluable; ideally not exclusively academic
- Properly considered marketing and business plans should be set in place and executed continuously and consistently
- This helps to determine the business aspects that may result, and whether the project's outcomes are likely to open new commercial opportunities and produce income for participants / partners
- Assign dedicated team member/s that are set on producing and executing these plans for the life span of the project, and beyond. These plans are dynamic, must constantly be tried and tested, to ensure they are still relevant in line with progression and, if needed – updated and changed
- A substantive marketing and business plans will also: Impress the reviewers during the application process; Increase chances for securing additional funding, hook potential partners/team members, and in general enhance collaborations; Help identify potential strengths/opportunities as well as threats and weaknesses to your strategy



- Make sure your Project Plan, Risk Register and Spend Profile are well considered
- Allocation of budget for the different milestones and deliverables you expect to achieve must be clear
- This can/should be an "agile" process but start out based on a qualified roadmap
- Explain clearly the relevance of the challenge your project aims to address and why it should be tackled now
- Be realistic/pragmatic, making wildly optimistic claims, just to land the grant, will catch you out down the line
- Will your proposed intervention have a long-term impact on the market, on industry, technology, environment, or society in general? Is the potential impact clear?
- Set up your project to evaluate progress: Build in very clear Key Performance Indicators (KPI's)



- **Technology Readiness Level (TRL)** is a primary currency for measuring progress. Understand the scale: Read the EU's definition of TRL on page 13 of the general annexes to the 2023-3024 Horizon Europe Work Programme
- There are many ways of measuring progress, success and impact:
- **Results** are generated during the project implementation (for example, the know-how, innovative solutions, algorithms, proof of feasibility, new business models, policy recommendations, guidelines)
- Research output refers to the results generated by the action in the form of scientific publications, data or other engineered outcomes and processes such as software and protocols
- A project's outcomes may include the uptake, diffusion, deployment, and/or use of the project's results, during or shortly after, the end of the project
- Will your proposed intervention have a long-term impact on the market, on industry, technology, environment, or society in general? Is the potential impact clear?
- A project's impact is the wider long-term effect on society (including the environment), the economy and science; the impact generally occurs sometime after the project's end: <u>Horizon Europe</u> — <u>Programme guide</u>



- COMMISSION RECOMMENDATION (EU) 2023/498 of 1 March 2023 on a Code of Practice on standardisation in the European Research Area:
- "There is great potential from researchers engaging in the early stages of standardisation activities within R&I programmes, where researchers gain important knowledge and networks, while also contributing to the development of key new or improved international and European standards in critical topic areas for industry and society."
- By way of example: ISO 25553 Smart Multigenerational Neighbourhoods











Thank you ian@agileageing.org

