

UK – Germany Bilateral: Collaborative R&D Round 4

Applicant briefing Date: **3 October 2024**

The webinar will start at 14:00

- We are currently on mute, whilst waiting for more people to join
- We will conduct a sound check before the briefing starts
- Please ensure that you are dialled in, either by computer or phone, so that you can hear the briefing.
- If you have any audio issues, please dial in from a fixed line.
- The briefing will be recorded and available for you

Agenda

Welcome and introductions

Part 1 Scope, eligibility criteria

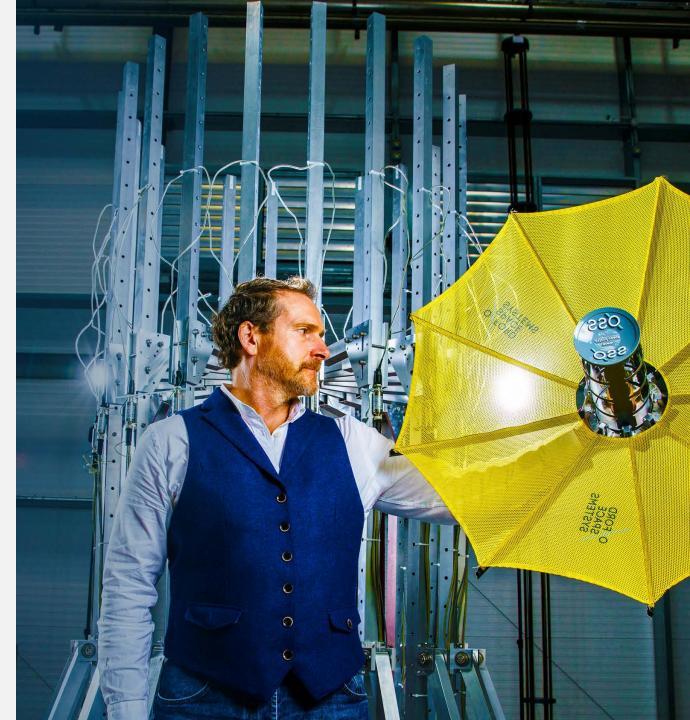
Part 2

The Innovation Funding Service, application finances, academic partners

Part 3

Submitting your application, assessment, project setup for successful applicants and additional support





Welcome and Introductions

Dawn Manser -

Competition Manager, Competitions Team

Clara King -Innovation Lead, Global Team





Introduction to Innovate UK and UKRI





UK Research and Innovation

We work with the government to invest over £7 billion a year in research and innovation by partnering with academia and industry to make the impossible, possible. Through the UK's nine leading academic and industrial funding councils, we create **knowledge with impact**.





Innovate UK

- We are the UK's innovation agency
- We support business-led innovation in all sectors, technologies and UK regions
- A key delivery body of the Government's Innovation Strategy

Our Mission

To help UK businesses grow through the development and commercialisation of new products, processes, and services, supported by an outstanding innovation ecosystem that is agile, inclusive, and easy to navigate.





UK ranks 5th in Global Innovation Index

- Innovation accounts for up to 50% of labour productivity growth
- Firms that persistently invest in R&D have higher productivity
- Innovating companies are more likely to export and generate growth

Pros & Cons of using AI to support you

With the advances in AI technology it is only natural to use technology to support you in applying to our competitions. Whilst we don't recommend or advise against it we would like to make you aware of the following which could potentially impact your project.

Pros

- Removes barriers for people with disabilities and non-English speakers
- Allows you to rephrase your content to meet the word count in a question
- Ensures all aspects of a question are answered
- Can aid a better understanding of:
 - intended/wider market
 - best practice in project management
 - complementary technologies and advances in the industry
 - expected project impacts



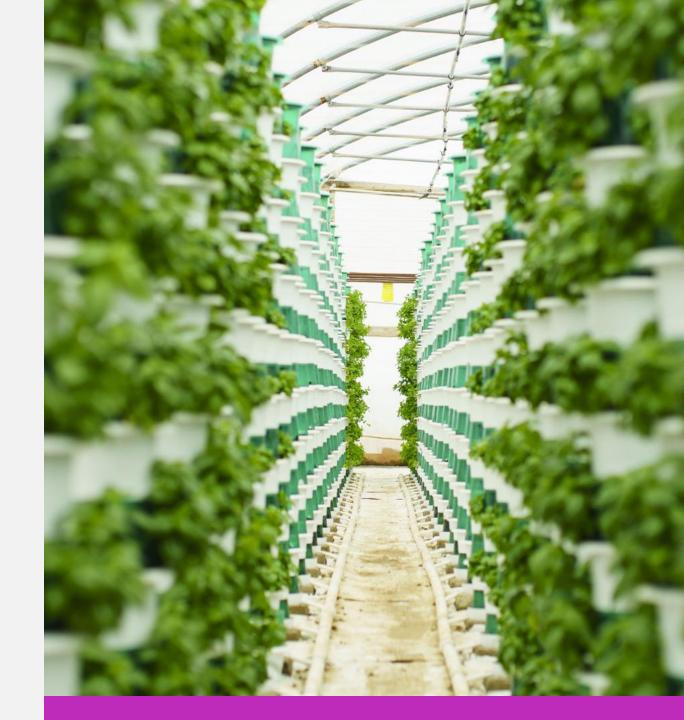
Whilst AI offers many benefits, it is important you are aware that **you are potentially sharing your idea with the world,** so be careful what you share as you have no control over how it is shared on once you do.

Cons

- It is not always correct in its assumptions and can get things wrong
- Al learns from the information you give it as well as what it has already learnt
- May provide a generic response meaning your application could use similar phrasing to others
- Al can be detected as non-human as it lacks expression and insight because it relies on logic to summarise information based on the question asked

Scope





Why is global collaboration important?

- To grow and scale, a business needs to access global markets
- Global R&D and innovation collaborations build stronger and more sustainable relationships
- Early access to global supply chains as they are forming
- No one country or company has all the answers

Scope

The aim of this competition is to fund business led, collaborative research and development (CR&D) projects focused on innovative industrial research and developed between the UK and Germany.

Your project must demonstrate:

- a clear game-changing or disruptive innovative idea leading to new products, processes or services
- a strong and deliverable business plan that addresses and documents market potential and needs
- sound, practical financial plans and timelines
- good value for money, which will always be a consideration in Innovate UK funding decisions
- how you intend to deliver significant economic impact, return on investment (ROI) and growth through commercialisation, within 2 to 3 years of project completion
- clear potential to significantly benefit the UK economy or national productivity
- the benefits of participants from the countries working together and how this adds value
- a clear definition of where intellectual property (IP) can be used and shared between the participants and countries
- a clear route to market within 2 to 3 years of project completion





We particularly encourage applications focussing on one or more of the following technologies:

- AI
- Engineering Biology
- Quantum
- Semiconductors
- Future Telecommunications
- Green Technologies (including batteries and hydrogen)

This list is not exhaustive and we will accept applications from any field of technology.



Scope

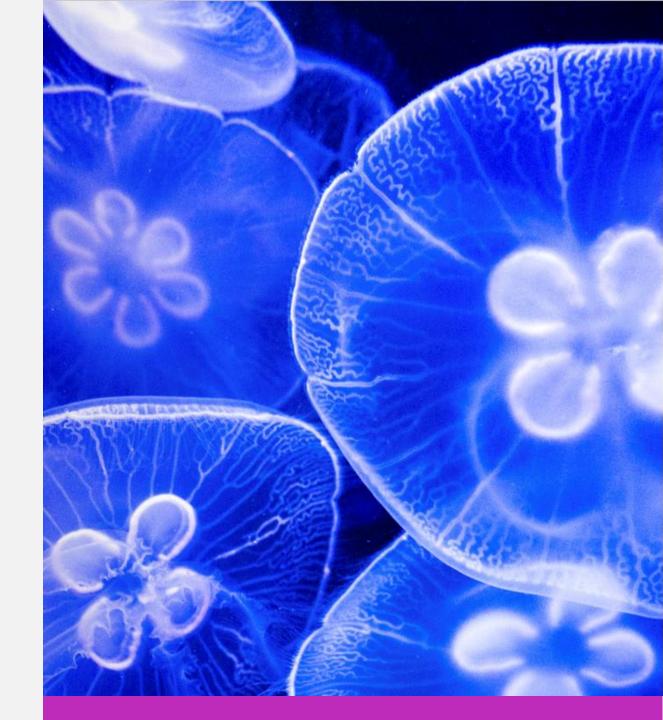
We will not fund projects that:

- work on non-civilian applications
- are not in scope
- do not meet Innovate UK's eligibility criteria
- do not submit all mandatory documentation
- are dependent on export performance
- are dependent on domestic inputs usage
- do not have a German-registered SME partner as part of the consortium



Scope Q&A





Eligibility criteria





Previously submitted applications

This competition does allow you to submit a previously submitted application.

| Previously submitted application | Not a Previously submitted application |
|--|--|
| A previously submitted application is an application Innovate UK judges as <u>not</u> materially different from one you have submitted before (but it can be updated based on the assessors' feedback) | A brand-new application, project or idea that you have not previously submitted into an Innovate UK competition OR A previously submitted or ineligible application which: • has been updated based on assessor feedback • and is materially different from the application submitted before • and fits with the scope of this competition |



| Eligibility criteria | | |
|------------------------|---|--|
| Project eligibility | lead must be a UK registered business | |
| | Involve at least one UK-registered SME | |
| | must be collaborative and include at least one SME registered in Germany that is a separate legal entity and not linked to the UK partners. | |
| | The majority of the project work must be undertaken in the UK and Germany. UK project partners must carry out the majority of their project work in the UK and intend to exploit the results from or in the UK. | |
| | No one country or project partner can represent more than 70% of the total project costs on the UK application. | |
| Total grant | up to £500,000 | |
| Project length | between 18 and 36 months | |
| Project must start by* | 1 August 2025 | |



*If you are successful, you will be expected to start by this date. Failure to comply may result in funding being withdrawn.

Types of organisations we fund

- Business Small or Micro, Medium or Large registered in the UK
- Research Organisation (RO):
 - Universities (HEIs)
 - Not for profit distributing Research & Technology Organisation (RTO) including Catapults
 - Public Sector Research Establishments (PSRE)
 - Research Council Institutes (RCI)
- Public sector organisations and charities doing research activity

If you are 100% owned by a large parent company as a small subsidiary this means you are classed as a large company and will only be entitled to the relevant grant. For more information on company sizes, please refer to the <u>company accounts guidance</u>.



Compliance with the UK Subsidy Control Regime

On 4th January 2023, the <u>Subsidy Control Act 2022</u> came into effect.

This provides a framework for public authorities to design and award subsidies in a compliant way, whilst minimising any negative effects of subsidies both within the UK and Internationally.

Innovate UK offers funding in line with the UK's obligations and commitments to Subsidy Control. To ensure that Innovate UK remains compliant with the UK's international Subsidy Control duties in respect of:

- the EU-UK Trade and Cooperation Agreement;
- the subsidy control act 2022
- Article 10 of the Windsor Framework (successful applicants which are affected by the Windsor Framework will be funded in line with EU State aid regulations)
- Article 138 of the Withdrawal Agreement (some Union law applicable after 31 December 2020 in relation to the UK's participation in Union programmes and activities)
- the Subsidies and Countervailing measures within the WTO (ASCM)
- any other Free Trade Agreements active at the time of award

All awards will be conditional on compliance at all times with the UK's international obligations on Subsidy Control - this will be reflected in the terms and conditions of any award.



Due diligence

Under the Subsidy Control regime, we will carry out financial health checks and ongoing concern assurances on your organisation.

Certify you are eligible

When submitting an application, you must certify that you are eligible for funding. If you are unsure, please take independent legal advice before applying. Should you be successful, we will complete these financial checks and assurances before confirming the grant offer.

- For more information on company sizes, please refer to the <u>Company accounts guidance</u>.
- Further information is available on our website in the general guidance.



Eligibility Criteria - EU State Aid Regulations – The Windsor Framework

If you are an applicant who is conducting activities that will affect trade of goods and/or electricity between Northern Ireland and the EU, as envisaged by <u>Article 10 of the Windsor Framework</u>, then you must apply under European Commission State aid rules.

Undertaking in Difficulty

For applicants subject to the European Commission State aid rules, you will be required to prove that they were not an "Undertaking in Difficulty" (UiD). We will ask for evidence of this.

This test applies to:

- companies that are more than 3 years old
- companies where more than half of its subscribed share capital has disappeared as a result of accumulated losses.
- your parent or holding company

Certify you are eligible

When submitting an application, you must certify that you are eligible for State aid. If you are unsure, please take legal advice before applying. Should you be successful, we will apply this test as part of our viability checks before confirming the grant offer.

Further information is available on our website in the general guidance under state aid.

If you are applying for an award funded under State aid Regulations, the definitions for company size are set out in the <u>European</u> <u>Commission Recommendation</u> of 6 May 2003.



Participation Rules

The aim of this funding opportunity is to:

- optimise the level of funding to business and
- recognise the importance of research base to project

At least **70%** of total eligible project costs must be incurred by business.

The maximum level (**30%** of total eligible project costs) is shared by all research organisations collaborating on the project.



What is collaboration?

In all collaborative projects there must be:

- at least two organisations claiming grant within the application (including the lead)
- a business or RTO-led consortium, which may involve both business and the research base
- evidence of effective collaboration

We would expect to see the structure and rationale of the collaboration described in the application.



Making more than one application

Any one business may be involved in up to 3 applications to this competition, but can only be the lead partner in one application.

Any one UK registered business, academic institution, research and technology organisation (RTO), charity, not for profit or public sector organisation may only be the lead partner in one application. If they are leading an application, they may be involved in up to 3 applications to this competition.

If a research & technology organisation is not the lead on any application, they can be a partner in any number of applications.



Other Innovate UK projects

If you have an outstanding final claim or Independent Accountant Report (IAR) on a live Innovate UK project, you will not be eligible to apply to this competition, as a lead or a partner organisation.

We will not award you any further funding if you:

- applied to a previous competition as the lead or sole company and were awarded funding by Innovate UK, but did not make a substantial effort to exploit that award
- applied to a previous competition as the lead or sole company and failed to comply with grant terms and conditions.





| Timeline | Dates |
|---------------------|------------------------|
| Competition Opens | 30 September 2024 |
| Briefing Event | 3 October 2024 |
| Submission Deadline | 6 December 2024, 11:00 |
| Applicants informed | 6 May 2025 |



Innovation Funding Service (IFS)



Search for a funding competition and review criteria

Innovation competitions

| Filter competitions | 26 competitions | |
|---------------------|---|--|
| Keywords | Media Cymru Scale Up Round 2 | |
| Innovation area | UK registered organisations based in Wales, can apply for a grant funding request between £100,000 and £250,000. The organisations must develop innovative products, services or experiences for the media sector. | |
| Any | Eligibility | |
| | This competition is open to single applicants and collaborations. | |
| Update results | To lead a project your organisation must fulfil all three criteria: | |
| | 1. Be a UK registered business with a business address in Wales | |
| | 2. Be based in the Cardiff Capital Region or collaborate with at least one UK registered business of any size, academic institution, charity, not for profit, public sector organisation or research and technology organisation (RTO) in the Cardiff Capital Region | |
| | 3. Intend to exploit the results in the Cardiff Capital Region | |
| | Opening soon Opens: 7 October 2024 | |
| | Closes: 4 December 2024 | |
| | UK – Germany Bilateral: Collaborative R&D Round 4 UK registered organisations can apply for a share of up to £2 million to enhance UK and German collaborations in emerging fields of technology. You must collaborate with at least one German SME applying under the | |

equivalent German ZIM programme.

Eligibility This competition is open to UK and German collaborations only.

🕁 GOV.UK Innovation Funding Service Sign in BETA This is a new service - your feedback will help us to improve it. < Back to all competitions Funding competition UK - Germany Bilateral: Collaborative R&D Round 4 UK registered organisations can apply for a share of up to £2 million to enhance UK and German collaborations in emerging fields of technology. You must collaborate with at least one German SME applying under the equivalent German ZIM programme. Competition opens: Monday 30 September 2024 Competition closes: Friday 6 December 2024 11:00am A This competition has not yet opened. Summary Supporting information Eligibility Scope Dates How to apply Description Innovate UK, part of UK Research and Innovation, will work with The Bundesministerium für Wirtschaft und Klimaschutz (BMWK), (The Federal Ministry For Economic Affairs And Climate Action), to invest up to £2 million in innovation projects. The aim of this competition is to enhance UK and German collaborations and capabilities in the emerging fields of technology in our society. This is

| ن) GOV.L | JK | | Innovatio Sign in | on Funding Ser | rvice |
|---|---|--------------|---|----------------|------------------------|
| BETA This is a new service – your <u>feedback</u> will help us to improve it. | | | | | |
| < Back to all com | petitions | | | | |
| Funding cor UK – Ge | | Bilate | ral: Co | ollaborativ | ve R&D Round 4 |
| emerging field | UK registered organisations can apply for a share of up to £2 million to enhance UK and German collaborations in emerging fields of technology. You must collaborate with at least one German SME applying under the equivalent German ZIM programme. | | | | |
| Competition opens: Monday 30 September 2024 Competition closes: Friday 6 December 2024 11:00am | | | | | |
| A This competition has not yet opened. | | | | | |
| Start new app | olication | | | | |
| Summary | Eligibility | <u>Scope</u> | <u>Dates</u> | How to apply | Supporting information |
| Who can | apply | | Your projec | :t | |
| | | | Your projec | t must: | |
| | have a maximum grant funding request of £500,000 | | | | |
| | | | last between 18 and 36 months | | |
| | | | carry out the majority of its project work in the UK intend to exploit the results from or in the UK | | |
| | | | start by 1 August 2025 | | |
| | | | • end by 31 | July 2028 | |



Lead Applicant: create an account

The lead applicant must create an account:

UK registered businesses

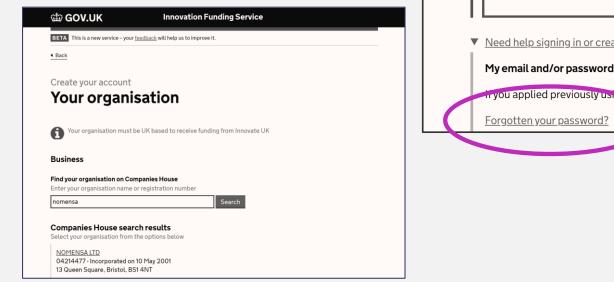
Innovate

UK

Use Companies House lookup as it speeds up our checks by providing your company number. You are unable to enter this at a later date.

Research organisations, academics and universities

Enter your information manually so you are not listed as a business on IFS and ensure you receive the correct funding.



| Please sign in or crea | te an account |
|--|--|
| Used this service before? Please sign into your Innovation Funding Service account. | New to this service? If you haven't used the new Innovation Funding Service before you will need to create an account. |
| Sign in | Create account |
| Innovation Funding Serv | ice |
| Sign in | |
| Email address Please enter your email add | iress. |
| | |
| Password Please enter your password | I. |
| | Show |
| Need help signing in or creating in or | ting an account? |
| My email and/or password | isn't working |
| i you applied previously using | is the old service, you will need to create a new account. |
| Forgotten your password? | |
| | |

Project Details

- Application Team Collaborators can invite organisations who you are working with on the project. Contributors can invite colleagues from your own organisation to help you complete your application. All team members and Lead applicants must complete the Equality, Diversity and Inclusion survey embedded in IFS as part of your application.
- Application Details Title, timescales, research category, innovation area and previously submitted application (y/n)
- Subsidy basis Will the project, including any related activities, you want Innovate UK to fund, affect trade between Northern Ireland and the EU? All participants must complete this section.
- Project Summary Short summary and objectives of the project including what is innovative about it
- Public Description Description of your project which will be published if you are successful
- Scope How does your project align with the scope of this competition? If your project is not in scope, it
 will be ineligible for funding



Project Impact questions

- Each organisation in your application will complete the Project Impact questions within the 'Supporting information' section
- The Project Impact questions ask for data about your business and innovation and its contribution to the UK economy, society, and the environment
- Visit the <u>Project Impact guidance</u> page for more information, the types of questions you will be asked and how to get further support
- By providing this data, you are enabling us to better understand the impact of our support. It will help us identify success stories and provide evidence to government and the public of the value of supporting innovative businesses



For more information:

- Watch Our Impact Management Framework video <u>here</u>
- Watch How is the Project Impact data collected? video <u>here</u>



Application Questions

Detailed guidance available on IFS

| Application For | m | Appendix? |
|-----------------|--|-----------------|
| Question 1 | Applicant location (not scored) | No |
| Question 2 | Animal testing (not scored) | No |
| Question 3 | (UK applicants only) Permits and licences (not scored) | No |
| Question 4 | Need or challenge | No |
| Question 5 | Approach and innovation | Yes - optional |
| Question 6 | Team and resources | Yes - optional |
| Question 7 | Market awareness | No |
| Question 8 | Outcomes and route to market | No |
| Question 9 | Wider impacts | No |
| Question 10 | Project management | Yes - mandatory |
| Question 11 | Risks | Yes - mandatory |
| Question 12 | Added value | No |
| Question 13 | Costs and value for money | No |



Application finances





To claim funding

Your business does not have to be UK registered with Companies House when you apply but it must be registered before you can receive funding.

You are unable to claim funding if:

- you are an overseas organisation, so your company number begins with FC
- your organisation is setup as a branch, so your company number begins with BR
- you are a collaboration with no formal structure of ownership or control, so your company number begins with ML
- you are a Crown Dependency:
 - if your company is based in Jersey, your company number begins with JE
 - if your company is based in Guernsey
 - if your company is based in the Isle of Man



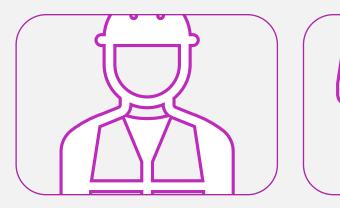
British Overseas Territories

You are also unable to claim funding if your company is based in any of the British Overseas Territories (BOTs):

- Anguilla
- Bermuda
- British Antarctic Territory
- British Indian Ocean Territory
- British Virgin Islands
- Cayman Islands
- Falkland Islands
- Gibraltar
- Montserrat
- Pitcairn Islands
- Saint Helena, Ascension and Tristan da Cunha
- South Georgia and the South Sandwich Islands
- Turks and Caicos Islands

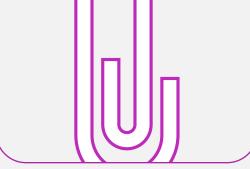


Your Project Cost Categories



Labour

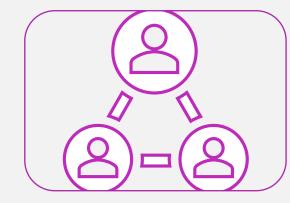
Overheads

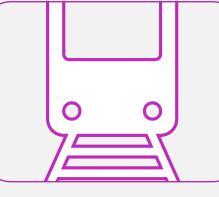




Materials

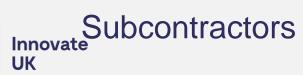
Equipment Usage











Travel & Subsistence

Other

Labour

Costs supported:

- Roles and number of days spent working on project (time sheets required)
- 262 work days per annum (less Public holidays and entitlement)
- paid by PAYE
- Employers NI, annual salaries, pension, Health insurance

Costs not supported:

- Dividends & bonuses
- Holiday (excluding that mentioned in eligible costs)
- Training days
- Names not roles assigned to project costs



| | Labour | | | | | £25,862 — |
|-------------------|---|---------------------------|-----------------|--|-------------|------------------|
| s spent heets | You can claim the labour costs of all emp | ployees you hav | e working o | n your project. | | |
| i (less ement) | Labour costs guidance If your application is awarded funding, you should keep timesheets and payroll paid by the organisation. | | | | | |
| aries, e | Working days per year 232 | | | | | |
| | Number of staff and roles within the pro | ject | | | | |
| | Role within project | Gross employee cost | Rate (£/day) | Days to be spent by all staff at this grade | Total costs | |
| S) | Project Manager | 50000 | £216 | 120 | £25,862 | <u>Remove</u> |
| l to | | 0 | £0 | 0 | £0 | <u>Remove</u> |
| | Add another role | | | | | |

Total labour costs £25,862

Material costs

Costs supported

Off the shelf items via 3rd Party suppliers Items from stock with the replacement of items invoice (used as evidence of supply)

Costs not supported

Whole bulk buy invoices, when only partially used with project work

Items from stock that aren't being replaced Equipment treated as assets

| Materials | | | | £10,000 - |
|---|-----------------------|----------------------|------------------------|------------------|
| You can claim the costs of materials use | ed on your project p | providing: | | |
| they are not already purchased or inc they are purchased from third parties they won't have a residual/resale value | S | | ou can claim the costs | minus this |
| <u>Please refer to our guide to project cos</u> | ts for further inform | ation. | | |
| Materials costs guidance | | | | |
| Please provide a breakdown of the mate | erials you expect to | use during the proje | ect | |
| | | | | |
| | | | | |
| Item | Quantity | Cost per item (£) | Total | |
| Item Software | Quantity 1 | Cost per item (£) | | Remove |
| | Quantity 1 0 | | Total | Remove Remove |
| Software | 1 | 10000 | Total £10,000 | |
| Item Software Add another materials cost | 1 | 10000 | Total £10,000 | |
| Software | 1 | 10000 | Total £10,000 | |



Subcontractors*

Costs supported

Justification required if non-UK supplier chosen Independent contractor via 3rd Party suppliers Linked supplier must be charged at cost Description of work covered

Costs not supported

Intercompany uplifts or profits Overseas contractors, if UK contractor availablewithout justification You can subcontract work if you don't have the expertise in your project team. You can also subcontract if it is cheaper than developing your skills in-house.

Subcontracting costs guidance

Please provide details of any work that you expect to subcontract for your project.

Subcontractor name

Robotics experts ltd

Country where the subcontractor will work

UK

Role of the subcontractor in the project and description of the work they'll do

facilitation and availability of robotics labs

Cost

36795



*You will be required to provide invoices and a description of work

Travel and subsistence*

Costs supported

Rail, Car or Plane** Mileage at HMRC rate Hotel (Bed and Breakfast) Reasonable food costs(overnight stay only)

Costs not supported

Fuel First class travel Entertaining or marketing Visas Unreasonable food costs(overnight stay only)



| Travel and subsistence | | | | £3,000 🛦 |
|---|----------------------|------------------------|-----------|---------------|
| You should include travel and subsistence | costs that relate to | this project. | | |
| Purpose of journey or description of subsistence cost | Number of times | Cost each (£) | Total (£) | |
| Travel to robotics labs for testing | 12 | 100 | £ 1,200 | <u>Remove</u> |
| monthly project meetings | 12 | 150 | £ 1,800 | <u>Remove</u> |
| Add another travel cost | | | | |
| | | | | |
| | Total trave | el & subsistence costs | | £ 3,000 |

*You will be required to provide vouchers, tickets and invoices and ** must provide number of people travelling, the destination and purpose of visit

Overheads

Innovate UK's included costs are those incurred as a direct result of the project

Costs supported

Additional costs for administrative staff Day to Day Calculation for those people listed in the Labour Costs Table, multiplied by the numbers of days working on the project work.

Costs not supported

Excessive costs of Senior Managers only overseeing project activities

Business as usual day to day rates for Administration Uplifts of any kind

Overhead costs £ 44,483 ▲ You can incur overhead costs associated with those directly working on the project as well as indirect (administration) overheads. To be eligible both overhead categories need to be directly attributable to the project. The indirect overheads need to be additional as well as directly attributable. Note that there are certain cost categories/activities which are not eligible. To find out which costs are ineligible/eligible refer to our project costs guidance. ▶ Overheads costs guidance ● Overhead costs ● Overhead costs ● 20% of labour costs ● Calculate overheads

Calculate overheads

If you feel your overheads are higher than 20% you may calculate a value using the Innovate UK model in the spreadsheet available below. The model shows you which types of indirect costs associated with your project you may claim. For support with this option, please contact our Customer Support Service on 01793 44 2700. Any value claimed under this model will be subject to a review. This will assess the appropriateness of your claim if your grant application is successful.

- Download the overhead calculation spreadsheet
- Download as an Excel document <u>overhead calculation spreadsheet.xlsx (16KB)</u> Download as an Open Office document <u>overhead calculation spreadsheet.ods (10KB)</u>

Upload your completed spreadsheet

No file currently uploaded

+ Upload



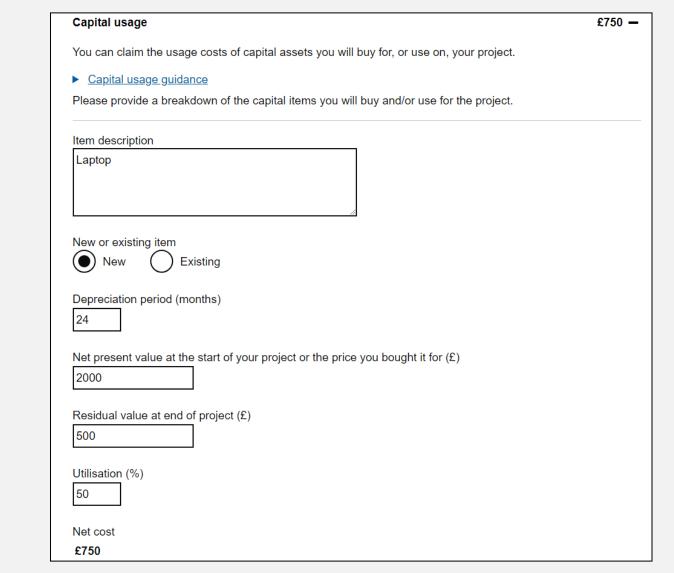
Capital equipment usage*-

Costs supported:

Usage costs for the period of use A justification if greater than expected Depreciation charge is included

Costs not supported

Purchase price of equipment Usage costs for the whole of the project period if only used for part of the time 100% write down within the project timeline** *Please provide your Fixed Asset Register **If not applicable





Other*

Costs supported

Facility usage** Licence fees(or appropriate proportion) Miscellaneous(not covered in other categories) Quality certification*** Specific PPE Dissemination costs

Patent filing costs (for SMEs only) limited to £7.5k

Costs not supported

Facility charge rates Marketing

Trademark Undefined mixed costs Contribution in kind Business as usual costs

*You will be required to provide invoices and basis of daily rates **At daily or hourly cost

***Where required for sign off

| Other costs | £0 |
|--|--|
| Please provide details of any project costs which cannot be covere | ed by the other cost categories. |
| Other costs guidance | |
| Please note that legal or project audit and accountancy fees are no cost'. Patent filing costs of new IP relating to the project are limite provide estimates of other costs that do not fit within any other co | ed to £7,500 for SME applicants only. Please |
| | |
| Description and justification of the cost | Estimated cost (£) |
| Description and justification of the cost | Estimated cost (£) |
| Description and justification of the cost | Estimated cost (£) |



Funding

Funding rules

The level of funding awarded will depend upon the type of organisation and the type of research being undertaken in the project

Funding is calculated by project participant

IFS will advise the maximum grant % you can request based upon your answers to:

- type and size of organisation
- research category defined by the lead applicant in the Application Details section of the application





| Organisation or type of activity | industrial research |
|--|--|
| Business (economic activity) | Micro or Small – 70% Medium – 60% Large – 50% |
| Research organisation (non-economic activity) | Universities – 100% (80% of Full Economic Costs) Other research organisations can claim 100% of their project costs |
| Public Sector Organisation or Charity (non-economic activity) | 100% of eligible costs |
| Research organisations (undertaking economic activities) Organisations receive funding related to the size of their organisation | Micro or Small – 70% Medium – 60% Large – 50% |



Academic partners







We use the Research Councils' Joint Electronic Submission System (Je-S) to collect academic finances.

The Je-S system automates the collection of Full Economic Costs (FEC) based costs from academic partners and tells them exactly what numbers should be used in the application form for their costs.

Also to collect project finance details from non-HEIs (e.g. RTOs) that are claiming they are carrying out academic quality work and want to be funded on an FEC basis.

Using Je-S means that Innovate UK follows standard Research Council guidelines on funding universities and enables Research Councils to easily co-fund Innovate UK projects.

The Je-S system is completely separate from Innovate UK and we cannot advise on its usage.



Project costs – academic partners

Enter the TSB reference number here

Enter the TSB Contribution column figures from *your* J-eS output document into the project costs section of the application.

Upload the Je-S with council status form as a PDF at the bottom of the screen.

Any queries, contact Je-S Helpdesk (not Innovate UK)

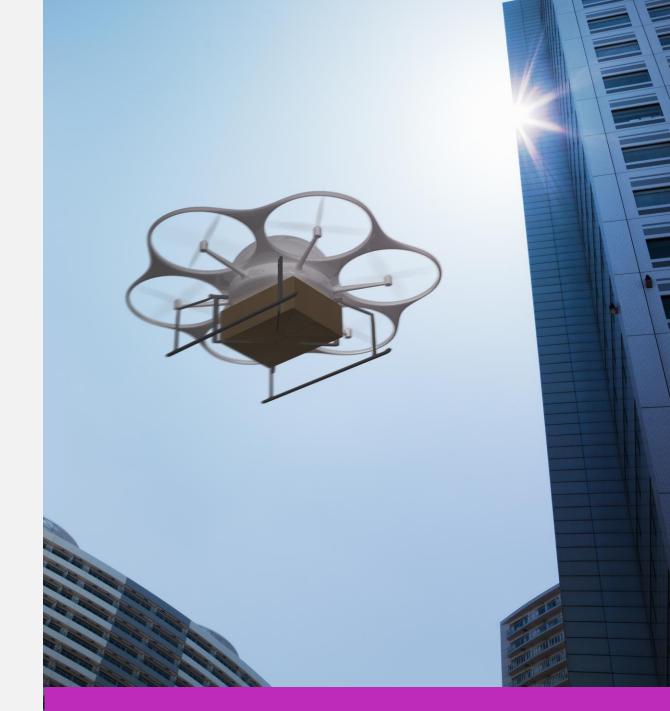
- jeshelp@je-s.ukri.org
- 01793 444164

| My REF | |
|--------------------------------|--|
| Financial resources | |
| Please enter the following cos | ts from the summary of resources section on your Je-S form |
| Directly incurred | TSB Contribution (please refer to the TSB contribution column) |
| Staff | 11 |
| Travel & subsistence | 22 |
| Other costs | 33 |
| Subtotal | £ 66 |
| Directly allocated | |
| Investigators | 44 |
| Estates Costs | 55 |
| Other costs | 66 |
| Subtotal | £165 |
| Indirect costs | 77 |
| Exceptions | |



Submitting your application





Checking your finances are complete

Finances Summary

A

The following organisations have not marked their finances as complete: • EMPIRE LTD

<u>Return to the finances section to complete your finances</u> This application cannot be submitted unless finances have been marked as complete by all partners.

| | | Total costs | % Grant | Funding sought | Other public sector funding | Contribution to project |
|--|---|-------------|---------|-------------------|--------------------------------|----------------------------|
| Barry Shaw Experts Ltd Partner | ~ | £230,162 | 70% | £161,113 | £0 | £69,049 |
| EMPIRE LTD Lead organisation | ♪ | £282,655 | 70% | £197,859 | £0 | £84,797 |
| University of Bath Partner | ~ | £239,114 | 0% | £0 | £0 | £239,114 |
| Total | | £751,931 | | £358,972 | £0 | £392,959 |

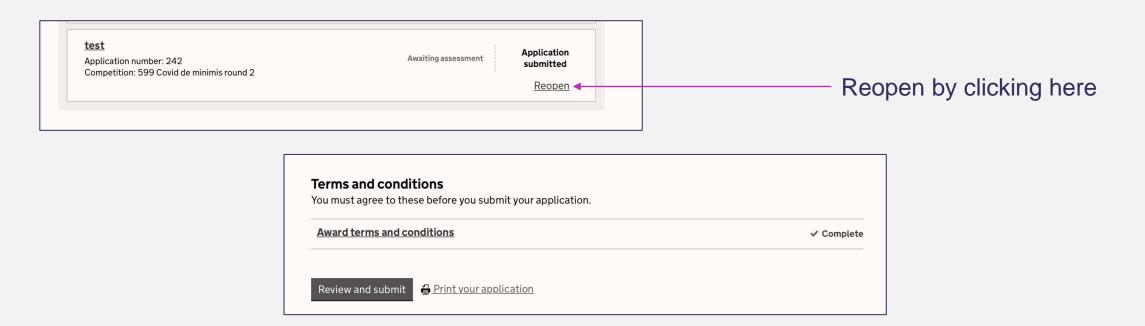
All organisations have marked their finances as complete.

Research organisation participation is no greater than **30**% of the total project costs.

IFS DOES NOT VALIDATE TOTAL PROJECT COSTS



Editing a submitted application



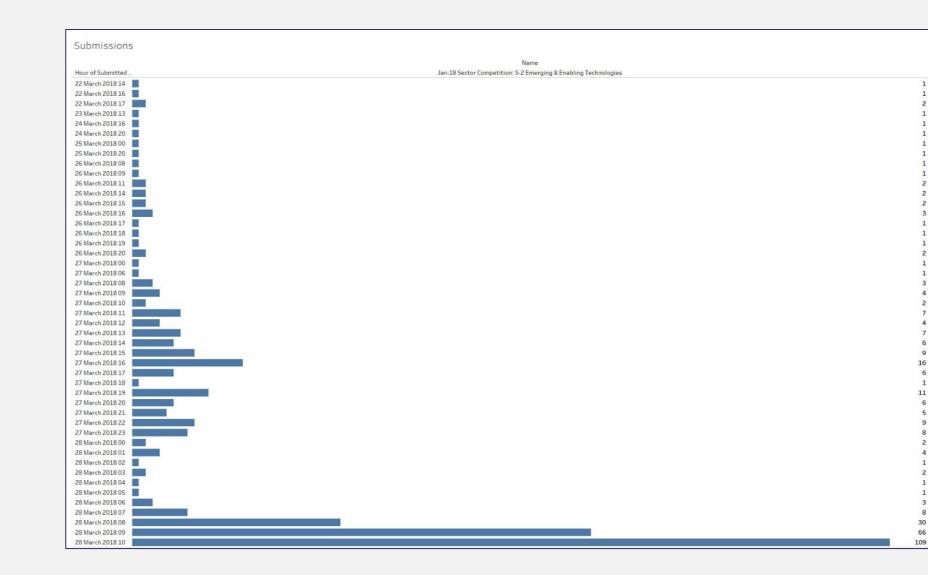
| | Terms and conditions | Open all |
|-------------------|--|--------------|
| | Award terms and conditions | ✓ Complete 🕂 |
| Remember to press | Submit application | |
| Innovate | Need help with this service? <u>Contact us</u> | |
| UK | | |

Submit your application early!

Customer Support can help resolve any issues you might have when submitting but only if they are contacted before the deadline. Once the deadline has passed, your application cannot be submitted.

Remember that if there are collaborative partners, it is the lead applicant's responsibility to ensure all collaborative partners have completed their assigned sections and accepted the terms and conditions, before submitting.

> Innovate UK



Assessment





How our assessors assess?

All eligible applications are assessed by an independent **pool** of assessors drawn from industry and academia.

We require our assessors to maintain confidentiality and declare any conflicts of interest, ensuring a fair and transparent process.

Assessors are unable to contact you regarding your application content. Therefore, your application should contain:

- a justification for a viable opportunity for growth and a level of innovation that necessitates public investment
- clear and concise answers
- the right amount of information
- no assumptions

We recommend you review the competition brief and guidance for help.



How are successful applicants selected for funding?

Assessors will score each section of your application.

After the assessor process, Innovate UK will independently moderate assessor scores. Any outliers in scores may be removed and your total score will be updated.

You will then receive email notification to offer grant funding or to inform you that your application has been unsuccessful on this occasion.

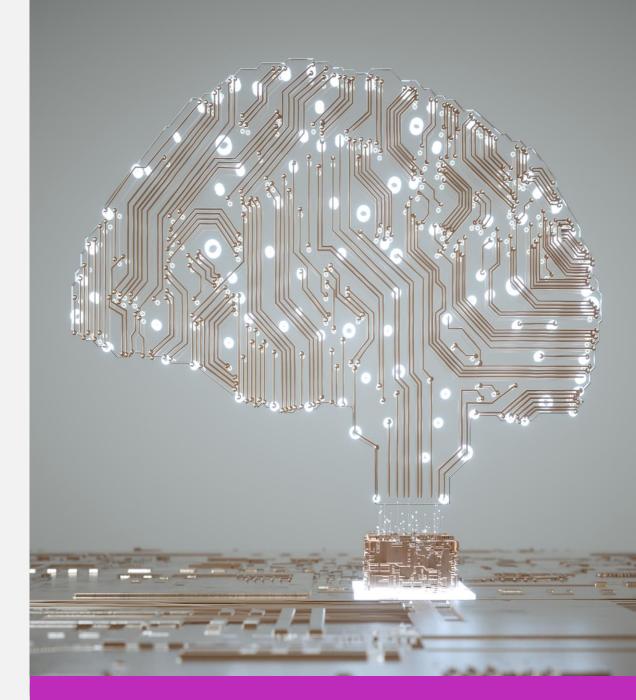
Whether successful or unsuccessful in receiving grant funding, you will receive feedback on your application. It is intended to be constructive in nature and to highlight both the strong as well as the weak areas of your application.

For more information on the competition process, please go to: <u>Competition briefing:</u> <u>applicant information - YouTube</u>



Project setup for successful applicants





Notification

If you are unsuccessful in this competition

You can use the feedback from the assessors to develop your idea and apply into another competition that allows previously submitted applications

If you are successful in this competition

- you will be assigned a Delivery Executive who will guide you through the Project Set Up process
- you will have 5 days to complete the project team, project details and bank details
- you will then have 90 days to complete project set up funding may be withdrawn if this is not completed within this timeframe

Please ensure all your contact details in the IFS portal are correct and up to date and that you regularly monitor it.



Project set up

- All communication will be through IFS.
- Lead applicant must provide collaboration agreements and exploitation plans if applicable.
- Any partners with individual total project costs of up to £50,000 must provide evidence with a Statement of Expenditure (SoE).
- Any partners with individual total project costs above £50,000 must provide evidence with an Independent Accountants Report (IAR).

Project delivery

- All grants are paid quarterly in arrears and are only paid following quarterly reporting and necessary audits.
- Claims can only be made for costs incurred and paid between the project start and end dates.
- Monitoring of the project includes a visit from the appointed Monitoring Officer.



Additional Support





Innovate UK Business Growth

Bespoke growth and scaling support at the business end of innovation

- Intensive growth and scaling support tailored to the needs of each of our ambitious innovation-driven clients
- Offered alongside project funding to Innovate UK award winners, most of whom engage us. We are also available to all high growth potential innovative small or medium-sized businesses*
- Engagement at early, growth and scaling stages, with our core high growth service and enhanced Scaleup Programme
- Delivered by 290 innovation and growth specialists embedded in regional ecosystems across the UK, including a board of expert scaleup directors

*subject to eligibility and currently in all nations and regions outside Scotland



Innovate UK Business Growth

An innovation and growth specialist, or scaleup directors, work with a company's leadership to hone its commercial strategy and help it take targeted action to, for example:

- Build investment readiness
- Manage innovation effectively
- Enter global markets
- Providing local to national to international growth and scaling support





Q&A





Contact

Customer Support Services

0300 321 4357 (Monday - Friday 9am-12pm and 2pm-5pm)

support@iuk.ukri.org



Innovate UK ukri.org/councils/innovate-uk



Innovate UK Business Connect iuk.ktn-uk.org

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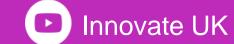
Innovate UK Business Growth iukbg.ukri.org





Thank You

🥑 @InnovateUK



in Innovate UK



@weareinnovateuk